

# Motor Carrier Financial and Operation Statistics

The Bureau of Transportation Statistics' Motor Carrier Financial and Operating Statistics (F&OS) Program collects annual and quarterly data from motor carriers of property and passengers that meet the applicability requirements listed in the table below. The program collects balance sheet and income statement data along with information on tonnage, mileage, employees, transportation equipment, and other related items, but there are no explicit safety data items. The Motor Carrier F&OS forms are not currently a part of the FMCSA compliance review process. The F&OS data are used by the U.S. Department of Transportation, other government agencies, trucking associations, insurance companies, consultants, law firms, academia, trade publications, and others. Under 49 CFR 1420.10, unless otherwise provided by law, "the data contained in the report[s]...shall be made publicly available."

## Applicability

Any motor carrier of property, household goods, or passengers engaged in interstate, intrastate, and/or foreign operations and with annual revenues of \$3 million dollars or more must file annual and/or quarterly reports with the *Bureau of Transportation Statistics*, as required by 49 CFR 1420.

## Requirements

As listed under 49 CFR 1420.

Type of Motor Carrier	Adjusted Annual Operating Revenue	Report(s)	Due Date
Class I, Property	\$10 million or greater	Form M, annual	March 31
		Form QFR, quarterly	30 days after the end of each quarter
Class II, Property	\$3 - \$10 million	Form M, annual	March 31
Class I, Passenger	\$5 million or greater	Form MP-1, annual and quarterly	March 31st and 30 days after the end of each quarter

## Exceptions

Motor Carriers that:

- are below the Class I or Class II revenue thresholds (see the worksheet for calculating carrier classification),
- are a private motor carrier,
- are outside DOT jurisdiction,
- do not hold DOT or ICC operating authority or have leased their operating authority to another carrier,
- are in bankruptcy, or
- have received an exemption from filing (see 49 CFR 1420.8 and 1420.9)

Please contact the Office of Motor Carrier Information, Bureau of Transportation Statistics at 202-366-2984 or 202-366-4383 for additional information about the Motor Carrier Financial and Operating Statistics Program or visit our website at [www.bts.gov/mcs](http://www.bts.gov/mcs) or e-mail us at [mcs@bts.gov](mailto:mcs@bts.gov).





U.S. Department  
of Transportation

400 Seventh St., S.W.  
Washington, DC. 20590

**Bureau of Transportation Statistics**

**Office of Motor Carrier Information**

## **MOTOR CARRIERS OF PROPERTY AND HOUSEHOLD GOODS GENERAL INFORMATION ON FILING**

### **What forms do I have to file?**

Your company is currently classified as either a Class I or Class II motor carrier of property and household goods. As such, you are required by law to file reports Form M (Classes I & II) and Form QFR (Class I only). The governing legislation is **49 U.S.C. 14123** and the governing regulations are in **49 CFR 1420**.

The information collected on these forms provides the U.S. Department of Transportation, other Federal agencies, and the public with statistical data about the motor carrier industry. It also helps to foster motor carrier safety. Unless otherwise provided by law, the information you provide is made generally available to the public.

Motor carriers are classified on the basis of annual gross operating revenues and reports vary by carrier classification. If you believe your classification has changed or is incorrect, please complete the enclosed worksheet that will assist you in calculating your proper classification. If reclassification is needed, contact BTS. We will make any necessary adjustments and give you further instructions on your filing requirements. Please return a copy of the completed worksheet with your report.

### **What are the due dates for these forms?**

The **Form M** (Annual Report) is due on **March 31, 2001**. Quarterly Reports (**Form QFR**) are due on **April 30, 2001, July 31, 2001, October 31, 2001 and January 31, 2002**.

### **Where do I send the forms?**

The completed forms should be returned to the following address:

**Bureau of Transportation Statistics  
Office of Motor Carrier Information  
U.S. Department of Transportation  
c/o Vistronix, Inc.  
1970 Chain Bridge Road, Suite 1200  
McLean, VA 22102  
Phone: (202) 366-4383  
Fax: (703) 749-8480  
Email: mcs@bts.gov**

**Can I File The Annual And Quarterly Reports Electronically?**

Yes, we have computerized versions of the annual (Form M) and quarterly (Form QFR) reports on two diskettes. These programs allow you to enter the data on your personal computer, verify the information, and then print a hardcopy of the report with a format that will be accepted by the BTS database. Access 2000 must be installed on your computer, along with operating system Windows 98 or NT, in order to use the diskettes.

Use of the electronic software is not required to complete the 2000 annual or 2001 quarterly reports. ***If you decide to use the diskette version, please send one copy of the printed report AND the diskettes back to BTS.*** Please make sure that you check the report for accuracy and remember to make a copy for your own files. For questions regarding the use of Form M/Form QFR software, please call (202) 366-4383.

**Where Can I Get Help In Filling Out The Form?**

If you have any questions while completing Form M/Form QFR, call 202-366-4383 for assistance. Filing information is also available electronically. If you have a fax machine, information can be faxed to you or available on our web site ([www.bts.gov/mcs](http://www.bts.gov/mcs)) if you have access to the Internet.

**Where Do I Get Information About Filing Date Extensions, Exemptions, And Requests For Confidentiality?**

Questions regarding procedures for obtaining an extension of the filing date, exemption from filing reports, or confidentiality of data can be answered by calling 202-366-4383.

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Under the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number assigned to this collection of information is displayed at the top of the collection form.

It is estimated that an average of 10 burden hours per response are required to complete this collection of information. This estimate includes time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed and completing and reviewing the collection of information. Comments concerning accuracy of this burden estimate or suggestions for reducing this burden should be directed to the Bureau of Transportation Statistics at the address above.



U.S. Department  
of Transportation

400 Seventh St., S.W.  
Washington, DC. 20590

**Bureau of  
Transportation Statistics**

**MOTOR CARRIERS OF PASSENGERS  
GENERAL INFORMATION**

**What forms do I have to file?**

Your company is currently classified as a Class I motor carrier of passengers and is required by law to file Form MP-1. The governing legislation is **49 U.S.C. 14123** and the governing regulations are in **49 CFR 1420**. The information collected on these forms provides the U.S. Department of Transportation, other Federal agencies, and the public with statistical data about the motor carrier industry and information to foster motor carrier safety. Unless otherwise provided by law, the information you provide is made generally available to the public.

**What are the due dates for these forms?**

For each quarter and the annual report, BTS will send you a blank Form MP-1. Each report, annual and quarterly, is due 30 days after receipt. The **Form MP-1** (Annual Report) is due on **March 31, 2001**. Submissions of Form MP-1 for quarterly reporting are due on **April 30, 2001, July 31, 2001, October 31, 2001 and January 31, 2002**.

**Some Further Instructions for the Form**

- \* Please enter figures for the entire.
- \* Negative numbers should be entered in parentheses. For example, if line 8 is negative 360,483, it should be written as (360,483),
- \* Line 8 should equal Line 6(e) minus Line 7.
- \* Line 12 should equal the sum of Lines 8 thru 11.
- \* Line 16 should equal Line 7 divided by Line 6(e).

**Where do I send the forms?**

The completed forms should be returned to the following mailing address:

**Bureau of Transportation Statistics  
U.S. Department of Transportation  
c/o Vistronix, Inc.  
1970 Chain Bridge Road Suite 1200  
McLean, VA 22102**

**Phone: (202) 366-4383  
Fax: (703) 749-8480  
Email: mcs@bts.gov**

**Where can I get help on filling out the form?**

If you have any questions while completing Form M, please call 202-366-4383. Filing information is also available electronically. If you have a fax machine, information can be faxed to you. If you have access to the Internet, our web site address is [www.bts.gov/mcs](http://www.bts.gov/mcs).

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Under the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number assigned to this collection of information is displayed at the top of the collection form.

It is estimated that an average of 1.5 burden hours per response are required to complete this collection of information. This estimate includes time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and reviewing the collection of information. Comments concerning the accuracy of this burden estimate or suggestions for reducing this burden should be directed to the Bureau of Transportation Statistics at the above address.



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## **Worksheet for Calculating Carrier Classification (Motor Carriers of Property)**

### **What Is This About?**

This is to help you determine your carrier classification, which affects the reporting requirements of Form M and Form QFR.

### **Carrier Classification and Reporting Requirements**

Motor carriers of property are classified based on their adjusted annual operating revenue. Carrier classification, in turn, determines what reports are required by BTS. We are providing the worksheet below for your convenience to help you calculate your carrier classification. If your classification has changed or is incorrect, please contact us. We will make any necessary adjustments and give you further instructions on any filing requirements.

<i><b>Classification</b></i>	<i><b>Adjusted Annual Operating Revenue</b></i>	<i><b>Reports Required By Law</b></i>
Class I	\$10 million or greater	Form M (Annual) Form QFR (Quarterly)
Class II	\$3 - 10 million	Form M (Annual)
Class III	Less than \$3 million	None

### **How to Calculate Your Carrier Classification**

Upward and downward classification will be effective as of January 1 of the year immediately following the **third consecutive year** that your revenue qualifies. The steps in calculating your carrier classification are as follows:

1. Calculate your annual operating revenues. This is revenue from the transportation of property in interstate, and intrastate service while operating as a common and/or contract carrier. This includes:
  - Line haul and pickup & delivery services
  - revenues received under all operating authorities (regulated and unregulated)
  - revenues from your portion of interline shipments
  - revenues from local cartage service

Company Name: \_\_\_\_\_ MC #: \_\_\_\_\_

**Worksheet for Calculating Carrier Classification**

**Page 2**

- revenues received from any other motor carriers for lease of your operating rights and operating equipment
- commissions received for performing brokerage services: **This excludes:**
  - revenues from private carriage, compensated inter-corporate hauling, and leasing vehicles with drivers to private carriers
  - revenues from non-trucking activities

2. Multiply this figure by the revenue deflator. In Table 1, we have calculated the revenue deflator for you. The revenue deflator is the 1994 average producers price index of finished goods (PPI) divided by the revenue year's average PPI, as shown in Table 2. Table 3 is an example calculation. This carrier would be a Class III because of its 1998 revenue. If 2001 revenue surpasses \$3 million, it will be reclassified as Class II in 2002.

**Table 1** (After completion, fax to Russ Capelle at 202-366-3640.)

<i>Year</i>	<i>Annual Operating Revenue</i>	<i>x Revenue Deflator</i>	<i>= Adjusted Annual Operating Revenue</i>
1998	\$ _____	.96	\$ _____
1999	\$ _____	.94	\$ _____
2000	\$ _____	.91	\$ _____

**Table 2**

<i>Year</i>	<i>Producers Price Index (PPI)</i>	<i>Revenue Deflator</i>
1994	125.0	1.00
1995	127.0	.98
1996	131.3	.95
1997	131.8	.95
1998	130.6	.96
1999	133.1	.94
2000	138.0	.91

**Table 3**

<i>Year</i>	<i>Annual Operating Revenue</i>	<i>x Revenue Deflator</i>	<i>= Adjusted Annual Operating Revenue</i>
1998	\$2,695,000	.96	\$2,587,200
1999	\$3,345,000	.94	\$3,144,300
2000	\$3,543,000	.91	\$3,224,130

Note: Yearly PPIs reflect non-seasonally adjusted data released in January of subsequent year.





U.S. Department  
of Transportation

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## **Worksheet for Calculating Carrier Classification (Motor Carriers of Passengers)**

### **What Is This About?**

This is to help you determine your carrier classification, which affects the reporting requirements of Form MP-1.

### **Carrier Classification and Reporting Requirements**

Motor carriers of passengers are classified based on their adjusted annual operating revenue. Carrier classification, in turn, determines what reports are required by BTS. We are providing the worksheet below for your convenience to help you calculate your carrier classification. If your classification has changed or is incorrect, please contact us. We will make any necessary adjustments and give you further instructions on any filing requirements. You are not required to return the completed form.

<i><b>Classification</b></i>	<i><b>Adjusted Annual Operating Revenue</b></i>	<i><b>Reports Required By Law</b></i>
Class I	\$5 million or greater	Form MP-1 Annual and Quarterly
Class II	Less than \$5 million	None

### **How to Calculate Your Carrier Classification**

Upward and downward classification will be effective as of January 1 of the year immediately following the **third consecutive year** that your revenue qualifies. The steps in calculating your carrier classification are as follows:

1. Calculate your annual operating revenues. This is revenue from passenger motor carrier operations, including interstate, intrastate, and local service.
2. Multiply this figure by the revenue deflator. In Table 1, we have calculated the revenue deflator for you. The revenue deflator is the 1994 average producers price index of finished goods (PPI) divided by the revenue year's average PPI, as shown in Table 2. Table 3 is an example calculation. This carrier would be a Class II because of its 1998 revenue. If 2001 revenue surpasses \$5 million, it will be reclassified as Class I in 2002.

**Worksheet for Calculating Carrier Classification**  
**Page 2**

**Table 1** (After completion, fax to Russ Capelle at 202-366-3640.)

<i>Year</i>	<i>Annual Operating Revenue</i>	<i>x Revenue Deflator</i>	<i>= Adjusted Annual Operating Revenue</i>
1998	\$ _____	.96	\$ _____
1999	\$ _____	.94	\$ _____
2000	\$ _____	.91	\$ _____

**Table 2**

<i>Year</i>	<b>Producers Price Index (PPI)</b>	<b>Revenue Deflator</b>
1994	125.0	1.00
1995	127.0	.98
1996	131.3	.95
1997	131.8	.95
1998	130.6	.96
1999	133.1	.94
2000	138.0	.91

**Table 3**

<i>Year</i>	<i>Annual Operating Revenue</i>	<i>x Revenue Deflator</i>	<i>= Adjusted Annual Operating Revenue</i>
1998	\$5,095,000	.96	\$4,891,200
1999	\$5,345,000	.94	\$5,024,300
2000	\$5,535,000	.91	\$5,036,850

Note: Yearly PPI's reflect non-seasonally adjusted data released in January of subsequent year.

U.S. Department of Transportation  
Bureau of Transportation Statistics**Class I & II — Motor Carriers of  
Property and Household Goods****2001 Annual Report**

## IDENTIFICATION

MOTOR CARRIER NO. <input type="text"/>		U.S. DOT NO. <input type="text"/>	
BASE STATE* (see instructions) <input type="text"/>		BASE STATE REGISTRATION NO.* (see instructions) <input type="text"/>	
Name of Company <input type="text"/>			
Trade or Doing Business As: <input type="text"/>			
Street Address <input type="text"/>			
City <input type="text"/>	State <input type="text"/>	ZIP Code <input type="text"/>	Telephone No. (include Area code) ( <input type="text"/> ) <input type="text"/>

## CONTACT (for purposes of this report)

Contact name <input type="text"/>	Title <input type="text"/>	Telephone No. (include Area code) ( <input type="text"/> ) <input type="text"/>
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## MAILING ADDRESS (if different from above)

Mailing Address <input type="text"/>		
City <input type="text"/>	State <input type="text"/>	ZIP Code <input type="text"/>

AFFILIATED COMPANIES: Name(s)	MC number (if any)	U.S. DOT number (if any)
Parent	<input type="text"/>	<input type="text"/>
Affiliates	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

## Revenue Commodity Group

MOTOR CARRIER NO.

Indicate which type of carriage makes up the majority of your revenues.

Parcel: Includes expedited and courier service.

Tank motor carriers: Included in liquid petroleum/liquid chemical products or refrigerated liquids.

### GENERAL FREIGHT

- ☐ Less than truckload
- ☐ Truckload
- ☐ Parcel
- ☐ Container

### ☐ HOUSEHOLD GOODS

### SPECIALTY FREIGHT (mark up to 3)

- ☐ Heavy equipment
- ☐ Liquid petroleum or chemical products
- ☐ Bulk chemicals
- ☐ Refrigerated liquids
- ☐ Refrigerated solids
- ☐ Dump trucking
- ☐ Agricultural commodities
- ☐ Motor vehicles
- ☐ Armored truck services
- ☐ Building materials
- ☐ Film and associated products
- ☐ Forest products
- ☐ Mine ore (not coal)
- ☐ Retail store delivery
- ☐ Explosives or dangerous products
- ☐ Other - Specify

## — GENERAL INSTRUCTIONS —

- Use Generally Accepted Accounting Principles (GAAP)
- Report dollar values in whole dollars.
- Respond to each item. Use "0," "none," or "not applicable" as appropriate.
- Explain any unusual items, such as large differences between figures reported in the current report and those for the preceding report.
- Use parentheses to indicate negative numbers.

## Schedule 100 — Balance Sheet

		Balance close of 2001 (a)	Balance start of 2001 (b)
<b>Current Assets</b>			
101	<b>Cash and equivalents</b> - available on demand by company (cash and working funds, special deposits, temporary cash investments, etc.).		
102	<b>Accounts receivable</b> - Accounts owed to the business by customers or affiliates who have bought "on credit". Include all accounts receivable regardless of source. Exclude long-term accounts receivable.		
103	<b>Notes receivable</b> - Money owed by customers or affiliates who have signed a written promise to pay within one year. Include all notes receivable regardless of source.		
104	<b>Other current assets</b> - Include all assets not considered long-term which were not included above (materials and supplies, prepayments, deferred charges, etc.).		
105	<b>Total current assets - Sum of lines 101 through 104</b> —————→		
<b>Long-term Assets</b>			
106	<b>Net carrier operating property</b> - Depreciated book value of all tangible operating property (revenue and other operating equipment, land, buildings, garage, furniture and office equipment, carrier owned operating property used by others in motor carrier operations, etc.).		
107	<b>Other long-term assets</b> - Include depreciated book value of nonoperating property, long-term notes and accounts receivable, receivables from affiliates, deferred income tax debits, and other deferred debits. Include intangible assets.		
108	<b>Total long-term assets - Sum of lines 106 and 107</b> —————→		
109	<b>TOTAL ASSETS - Sum of lines 105 and 108</b> —————→		

MOTOR CARRIER NO.

Current Liabilities		Balance close of 2001 (a)	Balance start of 2001 (b)
110	<b>Accounts payable</b> – Accounts payable within one year. Accounts owed to others for goods and services bought on credit.		
111	<b>Notes payable</b> – Promissory notes payable within one year. Include payables to affiliated companies and matured obligations.		
112	<b>Taxes payable</b> – Taxes payable within one year (accrued taxes, deferred income tax credits).		
113	<b>Current portion of long-term debt</b> – Long term debt that is due within one year (bonds, equipment obligations, lease obligations, etc.).		
114	<b>Other current liabilities</b> – Report all other liabilities due within one year not included above (unclaimed wages, accrued bonuses, vacation pay, etc.).		
115	<b>Total current liabilities –</b> <b>Sum of lines 110 through 114</b> →		

## Long-term Liabilities

116	<b>Long-term debt</b> – All debt and advances payable due after one year (all types of bonds, mortgages, notes, advances, etc., outstanding with more than one year of payments remaining).		
117	<b>Other long-term liabilities</b> – Include deferred tax credits and any other deferred credits or liabilities of more than one year in duration.		
118	<b>Total long-term liabilities –</b> <b>Sum of lines 116 and 117</b> →		
119	<b>TOTAL LIABILITIES –</b> <b>Sum of lines 115 and 118</b> →		

## Owners' Equity or Capital

120	<b>Retained earnings (if corporation)</b> – That part of net profit that is kept for use in the business (instead of distributed to the owners).		
121	<b>Equity and other capital (if corporation)</b> – Include common and preferred capital stock plus additional paid-in capital. Subtract Treasury Stock.		
122	<b>Proprietary or partnership capital (if not a corporation)</b> – Investments of a sole proprietor or partners in an unincorporated entity.		
123	<b>Total owners' equity or capital</b> <b>Sum of lines 120 through 122</b> →		
124	<b>TOTAL LIABILITIES AND EQUITY</b> <b>Sum of lines 119 and 123</b> →		

## Schedule 200 — Income Statement

MOTOR CARRIER NO.

Operating Revenues		Amount for 2001
201	<b>Freight operating revenue - intercity</b> - Revenue from the transportation of property by motor vehicles. Include revenue from common and contract carriage. Include revenue from your portion of interline shipments. Exclude revenue from household goods, compensated intercorporate hauling, and private carriage.	
202	<b>Freight operating revenue - local</b> - Revenue from local freight carriage. Exclude revenue from Household Goods operations.	
203	<b>Household goods carrier operating revenue</b> - Revenue from intercity common and contract carriage of household goods. Include local household goods revenue. Exclude revenue from noncarrier activities such as packing and warehousing.	
204	<b>Other operating revenue</b> - Operating revenue not shown above. Include revenue from furnishing vehicles with drivers to other common or contract carriers under lease or similar arrangement. Include revenue received from the lease of your operating rights. Include compensated intercorporate hauling and private carriage. Include commissions for brokerage services, commissions for making payroll deductions, operations of lunch rooms, restaurants, etc.	
205	<b>Total operating revenue -</b> <b>Sum of lines 201 through 204</b> →	

## Wages and Salaries

Report gross earnings paid to employees prior to such deductions as employees' Social Security contributions, withholding taxes, group insurance premiums, union dues, savings bonds, etc. Include all miscellaneous paid time off, etc.

206	<b>Driver and helper wages (W-2 employees)</b> - Exclude 1099 owner-operator driver compensation, which are included in equipment rentals with drivers (line 226).	
207	<b>Cargo handler wages</b>	
208	<b>Officer, supervisor, administrative, and clerical wages and salaries</b>	
209	<b>Other wages and salaries</b>	
210	<b>Fringe benefits</b> - Include Federal, state, and local payroll taxes, workers' compensation, group insurance, pension and retirement plans, and other fringe benefits. Include all fringe benefits, whether required by law or not.	
211	<b>Commission agent fees (HHG only)</b> - Booking commissions paid to agents.	
212	<b>Total wages, salaries, and fringe benefits -</b> <b>Sum of lines 206 through 211</b> →	

## Operating Supplies

213	<b>Fuel, oil, and lubricants</b> - Include cost of gas, propane, diesel, motor oil, grease, lubricants, and coolants used by revenue vehicles, terminal vehicles, and maintenance vehicles. Exclude taxes for fuel, which are reported separately.	
214	<b>Outside maintenance</b> - Maintenance performed by outside vendors. Include total amount paid on invoice - with taxes.	
215	<b>Vehicle parts</b> - Cost of parts used to repair vehicles. Exclude tires and tubes.	
216	<b>Tires and tubes</b> - Cost of tires and tubes for vehicles. (Retread charges and taxes, etc.)	
217	<b>Other operating supplies</b> - Other operating supplies and expenses used in the operations of vehicles, terminals, and shops.	
218	<b>Total operating supplies -</b> <b>Sum of lines 213 through 217</b> →	

MOTOR CARRIER NO.

## Insurance Expenses

Amount for  
2001

219	<b>Cargo loss and damage premiums and claims paid</b> – Net cost of commercial insurance to protect the carrier against liability for claims resulting from loss or damage to, or delay of, property being moved or stored by carrier. Include claims paid.	
220	<b>Liability and property damage premiums and claims paid</b> – Cost of commercial insurance to protect carrier from liability due to deaths or injuries to non-employees and damage to other property caused by carrier's equipment. Include claims paid.	
221	<b>Other insurance expenses</b> – Commercial insurance for fire, theft, floods, etc. Include insurance for buildings, offices, structures, machinery, etc. Exclude any insurance cost included in fringe benefits, such as worker's compensation.	
222	<b>Total insurance expenses –</b> <b>Sum of lines 219 through 221</b> →	

## Miscellaneous Expenses

223	<b>Fuel taxes</b> – Federal and state taxes on gas, diesel, and oil consumed by revenue vehicles and terminal or maintenance activities.	
224	<b>Operating taxes and licenses (except fuel taxes)</b> – Include Federal, state, and local operating taxes, license and registration fees, tolls, other vehicle use taxes, and real estate and personal property taxes. Exclude income, payroll, sales and other taxes collected from customers.	
225	<b>Depreciation and amortization charges</b> – Depreciation and amortization expenses of revenue equipment, building and improvements, and all other property. Include depreciation on assets rented or leased to others by you under an operating lease agreement. Include depreciation on assets owned and used by your firm within leaseholds, and assets obtained through capital lease agreements. Exclude depreciation on intangible assets and assets leased to others by you under a capital lease agreement.	
226	<b>Equipment rentals – with drivers</b> – Amounts payable to others for the use of revenue vehicles where vehicle and driver are under control of carrier. Include monies paid to 1099 independent contractors. Exclude wages paid to W-2 drivers which are reported separately.	
227	<b>Equipment rentals – without drivers</b> – Amounts payable to others for the use of revenue vehicles where vehicle is under control of carrier. Include compensation for use of owner operator vehicles when driver services are paid separately.	
228	<b>Equipment rentals – agents (HHG Only)</b> – Amounts payable to agents for moving and transportation costs related to household goods operations. Exclude commission agent fees, which are reported separately.	
229	<b>Purchased transportation</b> – Cost of purchased transportation when the hauling carrier retains control of the vehicle and driver. Include payments for the transportation of individual shipments and partial loads in the vehicles of another carrier (interlining), and payments to railroads, water carriers, airline, and others for the transportation of your company's loaded or empty motor vehicles and containers. Include payments for the delivery of small shipments by parcel, courier, or expedited services. Exclude 1099 independent contractor compensation which is reported separately.	
230	<b>Communications and utilities</b> – Cost of communications services plus taxes (long distance communications, fax services, telephone equipment, etc.)	
231	<b>Other operating expenses</b> – Include building rents and office equipment rents, gain (loss) on disposal of operating assets, etc. Exclude interest on loans, sales taxes, and other taxes collected directly from customers and paid directly to a taxing authority.	
232	<b>Total miscellaneous expenses –</b> <b>Sum of lines 223 through 231</b> →	
233	<b>TOTAL OPERATING EXPENSES –</b> <b>Sum of lines 212, 218, 222, and 232</b> →	

MOTOR CARRIER NO.

## Net Income (Loss) Calculation

Amount for  
2001

234	<b>Net operating income (loss) - Line 205 minus 233</b>	
235	<b>Non-operating revenue</b> - Revenue from non-carrier operations. Include revenue from the lease of a distinct operating unit and household goods packing and warehousing revenue. Include gains on sale, trade in, retiring of equipment. Include interest and dividend income.	
236	<b>Non-operating expenses</b> - Expenses from non-carrier operations. Include household goods packing and warehousing expenses. Include losses on sale, trade in, retiring of equipment.	
237	<b>Interest expenses</b> - Interest and amortization of debt discount expense and premium.	
238	<b>Ordinary income (loss) before taxes - Line 234 plus (lines 235 minus 236 minus 237)</b> →	
239	<b>Total provision for income taxes</b> - Accruals for Federal, state, or other income taxes applicable to ordinary income.	
240	<b>Ordinary income (loss) after taxes - Line 238 minus 239</b> →	
241	<b>Extraordinary items, effect of accounting changes, and other items</b> - Include items that are unusual in nature and infrequent in occurrence.	
242	<b>NET INCOME (LOSS) Line 240 minus 241</b> →	

## Schedule 300 — Operating Statistics

## Operating Statistics (all carriers) -

Report statistics for carrier's owned and leased power units, equipment rentals with and without drivers, equipment rentals - agents, and purchased transportation. Include statistics from common and contract carriage and your portion of interline shipments. Exclude statistics from local, compensated intercorporate, and private carriage.

		General Freight Only		Total (c)
		(LTL) up to 10,000 lbs. (a)	(TL) 10,000 lbs. and over (b)	
301	<b>Miles - intercity</b> - Report total miles operated, both loaded and empty, in intercity service. This line should equal the sum of lines 302 and 303.			
302	<b>Miles: highway</b>			
303	<b>Miles: rail, water, and air</b>			
304	<b>Tons - intercity</b> - Use estimates if necessary.			
305	<b>Total shipment carried - intercity</b>			
306	<b>Revenue - intercity (including HHG)</b> - This line will equal line 301 plus the intercity portion of line 203.			
307	<b>*Ton-miles - intercity</b>			

**\*How to calculate ton-miles:** **Method 1** - Multiply the distance of each movement by the weight in tons to get ton-miles for individual shipments. Sum all ton-miles for individual shipments to get total ton-miles.

**Method 2** - Compute the average length of haul (total miles/total shipments) for your operations. Then multiply this average haul by the total number of actual tons transported in intercity service. (Multiplying the total tons of all movements by the total miles of all movements produces an erroneous result and should not be used.)



MOTOR CARRIER NO.

**Operating Statistics  
(household goods carriers only)**

		Revenue (intercity common & contract carriage) (a)	Tons (actual weight) (b)	Number of shipments (c)
308	Personal effects and property used or to be used in a dwelling			
309	Furniture, fixtures, equipment, and the property of stores, offices, etc.			
310	Articles of an unusual nature or value (objects of art, etc.)			
311	<b>Total</b> →			

**Schedule 400 — Revenue Equipment**

Report all operating equipment owned or  
leased under a capital lease. Exclude rented  
vehicles. Include vehicles used in terminals,  
maintenance facilities, intercity highway, & storage.

		Number of units at start of year (a)	Units acquired during the year		Number of units retired/ disposed of during the year (d)	Number of units at end of year (e)
			Number (b)	Cost (c)		
401	Straight trucks – owned					
402	Straight trucks – leased					
403	Truck-tractors – owned					
404	Truck-tractors – leased					
405	Trailers and semi-trailers – owned					
406	Trailers and semi-trailers – leased					
407	Other revenue equipment					
408	<b>Total revenue equipment</b> →					

MOTOR CARRIER NO.

**Schedule 500 — Employment Information**

Include W-2 employees. Exclude 1099 independent contractors.

Average: (number at beginning of year + number at end of the year) divided by 2, or use an average Full Time Equivalent calculation.

Total compensation: include all wages, salaries, and miscellaneous paid time off. Exclude fringe benefits. Should equal figures in lines 206 through 209.

Hours earned/miles operated: Estimate the total hours/miles included in total compensation.

		Average number of employees (a)	Total compensation (b)	Hours earned or miles operated (c)
501	<b>Drivers and Helpers – hourly basis</b>			Hours
502	<b>Drivers and Helpers – mileage basis</b>			Miles
503	<b>Drivers and Helpers – other basis –</b> Drivers and Helpers not reported above.			
504	<b>Cargo handlers</b>			Hours
505	<b>Officers, supervisors, administrative, and clerical</b>			
506	<b>Other labor</b> – Average number of other employees (include vehicle repair, etc.)			
507	<b>Total employment –</b> <i>Sum of lines 501 through 506</i> →			

**Drivers**Number at close  
of 2001

508	<b>CDL drivers</b> – All drivers that hold a valid CDL license.	
509	<b>Non-CDL drivers</b> – Indicate the number of non-CDL drivers on payroll.	
510	<b>Total drivers –</b> <i>Sum of lines 508 and 509</i> →	

Certification – I hereby certify that this report was prepared by me or under my supervision, that I have examined it, and that the items herein reported on the basis of my knowledge are correctly shown.

Name

Signature

Title

Date

**Return the  
completed  
form to:**BUREAU OF TRANSPORTATION STATISTICS  
U.S. DEPARTMENT OF TRANSPORTATION  
c/o VISTRONIX, INC.  
1970 CHAIN BRIDGE ROAD, SUITE 1200  
MCLEAN, VA 22102Phone: (202) 366-4383  
Fax: (703) 749-8480  
Email: mcs@bts.gov  
Web site: www.bts.gov/mcs

## Motor Carrier Annual Report Form M Instructions

A completed Annual Report Form M is required of all for-hire Class 1 and Class 2 motor carriers of property or household goods that have revenues for three consecutive years exceeding \$3 million dollars (adjusted over base year 1994 by revenue deflators). The governing regulations are in 49 CFR 1420. The data collected is used by carriers, shippers, federal agencies, and industry analysts to measure the economic health and operating characteristics of the motor carrier industry.

**Motor Carrier Number (or MC number)** the docket number assigned by the former Interstate Commerce Commission, in the granting of operating authority to the carrier. Operating authority provided a defined listing of the commodities allowed and the geographic territory within which carriers were allowed to operate.

**U.S. DOT Number** The number assigned by the U.S. Department of Transportation Federal Motor Carrier Safety Administration (formerly an office of Federal Highway Administration), upon verification that carrier has acquired proper bodily injury, property damage liability, and cargo liability insurance, in compliance with the governing statutes.

**Base State** The state in which a carrier is registered for fuel tax reporting purposes, also known as the Single State Registration System (SSRS) state. If not part of the SSRS, then the state where the company is headquartered or does the majority of their business.

**Base State Registration Number** The number assigned to the carrier for fuel tax reporting purposes by the base state, or the Single State Registration System number.

**Reporting carrier and affiliate(s)** All motor carrier data captured in the Annual Report can be reported on a consolidated basis, consisting of the data of the parent company and all related operating affiliates. The parent or reporting carrier is defined as the highest ranking carrier in the consolidated group. Affiliate motor carriers which maintain their own accounting systems, may file independent reports apart from the parent company. The purpose of the consolidated financial statement is to capture the financial and operating data for the parent and affiliates as if the group were a single company. Companies, which can be included, are those which comprise an integral part of the respondent carrier's operations and whose purpose is to provide transportation service or is supportive to such activity. Affiliates, which comprise integral parts of the carriers operation, are defined as:

- a. any carrier or non-carrier holding company, which is exclusively engaged in providing motor carrier transportation service and controls, through majority stock ownership, one or more carriers.
- b. any carrier subsidiary which is majority owned and controlled by its carrier parent and whose purpose and operations are supportive to the transportation operations of its parent.
- c. any carrier affiliate under common control with the respondent carrier and whose express purpose and operations are supportive to the transportation operations of the respondent carrier.

Not to be included in the report are non-trucking related affiliates such as banks, insurance companies, etc., or affiliated motor carriers, which are subject to regulatory control in another country.

**Revenue Commodity Group** Identify the type of operation by the category, which comprises the majority of company revenues. Select one of the following three categories:

1. General Freight Miscellaneous commodities generally not requiring special handling or revenue equipment.
2. Household Goods
3. Specialty Freight freight requiring special handling and/or revenue equipment.

**NOTE:** If the **General Freight** carrier group is selected, then choose one of the four given categories (Less Truckload, Truckload, Parcel or Container). If **Specialty Freight** carrier group is chosen, choose up to "3" sub-categories that define the majority of your operation.

### GENERAL INSTRUCTIONS FOR COMPLETING FORM M

Use Generally Accepted Accounting Principles (GAAP). Report dollar values in whole dollars. Totals for amounts reported in supporting schedules must be in agreement with related primary accounts. Respond to each line. Use "0" or "none" or "not applicable" as appropriate. Explain, with footnotes, any unusual line, such as large differences between figures reported in the current report and those for the preceding report. **Indicate negative numbers using parentheses.**

### Schedule 100: BALANCE SHEET

State balances at close (column a) and beginning (column b) of reporting year. Total Assets should be equal to Total Liabilities and Equity

#### Current Assets (Subject to settlement within 1 year or upon demand)

**Line 101: Cash and equivalents** Available on demand by company (cash and working funds, special deposits, temporary cash investments, etc.)

**Line 102: Accounts receivable** Accounts owed to the business by customers or affiliated companies who have bought "on credit." Include all accounts receivable regardless of source. Exclude long-term accounts receivable of one year or more.

**Line 103: Notes receivable** Money owed by customers or affiliated companies who have signed a written promise to pay within one year. Include all notes receivable regardless of source.

**Line 104: Other current assets** Include all assets not considered long-term which were not included in lines 101–103 (materials and supplies, prepayments, deferred charges, etc.).

**Line 105: Total current assets** The sum of Lines 101 through 104.

**Long-term Assets (Assets not readily convertible to cash within one year)**

**Line 106: Net carrier operating property** Depreciated book value of all tangible operating property (revenue and other operating equipment, land, buildings, garage, furniture and office equipment, and other carrier-owned operating property used by others in motor carrier operations).

**Line 107: Other long-term assets** Depreciated book value of all non-operating property, long-term notes and accounts receivables, receivables from affiliates, deferred income tax debits, and other deferred debits. Include intangible assets.

**Line 108: Total long-term assets** The sum of lines 106 and 107.

**Line 109: TOTAL ASSETS** The sum of lines 105 and 108.

**Current Liabilities (Payables, accrued interest, accrued taxes, due within one year)**

**Line 110: Accounts payable** Accounts payable within one year. Accounts owed to others for goods and services bought on credit. Include interline payables, employee withholding, COD's & other.

**Line 111: Notes payable** Promissory notes payable within one year. Include payables to affiliated companies and matured obligations.

**Line 112: Taxes payable** Taxes payable within one year (accrued taxes, deferred income tax credits).

**Line 113: Current portion of long-term debt** Long-term debt that is due within one year (bonds, equipment obligations, lease obligations, etc.).

**Line 114: Other current liabilities** All other liabilities due within one year that are not included in lines 110 through 113. Include salaries and wages payable, accrued bonuses, vacation pay, etc.

**Line 115: Total current liabilities** The sum of lines 110 through 114.

**Long-term Liabilities (Debts and payables due in more than one year)**

**Line 116: Long-term debt** All debt and advances payable due after one year. All types of bonds, mortgages, notes, advances, etc. outstanding with more than one year of payments remaining.

**Line 117: Other long-term liabilities** Deferred tax credits and any other deferred credits or liabilities of more than one year in duration.

**Line 118: Total long-term liabilities** Sum of lines 116 and 117.

**Line 119: TOTAL LIABILITIES** Sum of lines 115 and 118.

**Owners' Equity or Capital Owner's share of a firm's assets; amount remaining when liabilities are subtracted from assets**

**Line 120: Retained earnings (if corporation)** That part of net profit that is kept for use in the business instead of distributed to the owners.

**Line 121: Equity and other capital (if corporation)** Include common and preferred capital stock plus additional paid-in capital. Subtract Treasury stock.

**Line 122: Proprietary or partnership capital (if not a corporation)** Investments of a sole proprietor or partners in an unincorporated entity.

**Line 123: Total owners' equity or capital** Sum of lines 120 through 122.

**Line 124: TOTAL LIABILITIES AND EQUITY** Sum of lines 119 and 123.

## Schedule 200: INCOME STATEMENT

### Operating Revenues include all revenues from carrier's operations

**Line 201: Freight operating revenue (intercity)** Revenue from the transportation of property by motor vehicles. Include revenue from common and contract carriage, and revenue from your portion of interline shipments. Exclude revenue from household goods, compensated inter-corporate hauling, and private carriage.

**Line 202: Freight operating revenue (local)** Revenue from local freight carriage. Exclude revenue from household goods operations.

**Line 203: Household goods carrier operating revenue** Revenue from intercity and local common and contract carriage of household goods. Exclude revenue from non-carrier activities such as packing and warehousing.

**Line 204: Other operating revenue** Operating revenue not included in lines 201 through 203. Include revenue from furnishing vehicles with drivers to other common or contract carriers under lease or similar arrangement. Include revenue received from the lease of operating rights. Include compensated inter-corporate hauling and private carriage. Include commissions for brokerage services, commissions for making payroll deductions, operations of lunchrooms, restaurants, etc.

**Line 205: Total operating revenue** Sum of lines 201 through 204.

**Wages and Salaries** Include gross earnings paid to employees prior to such deductions as employee Social Security contributions, withholding taxes, group insurance premiums, union dues, savings bonds, etc. Include all miscellaneous paid time off.

**Line 206: Driver and helper wages (W-2 employees)** Exclude 1099 owner-operator driver compensation, which are included in equipment rentals with drivers on line 226.

**Line 207: Cargo handler wages**

**Line 208: Officer, supervisor, administrative, and clerical wages and salaries.**

**Line 209: Other wages and salaries** Include vehicle repair and service wages.

**Line 210: Fringe benefits** Include all fringe benefits, whether required by law or not. Include payroll taxes, workman's compensation, group insurance, pension & retirement plans, health, welfare and pension, and other fringes.

**Line 211: Commission agent fees (HHG only)** Booking commissions paid to agents.

**Line 212: Total wages, salaries, and fringe benefits** Sum of lines 206 through 211.

### Operating Supplies

**Line 213: Fuel, oil and lubricants** Include cost of gas, propane, diesel, motor oil, grease, lubricants and coolants used by revenue vehicles, terminal vehicles, and maintenance vehicles. Exclude taxes for fuel, which are included in fuel taxes on line 223.

**Line 214: Outside maintenance** Maintenance performed by outside vendors. Include total amount paid on invoice with taxes.

**Line 215: Vehicle parts** Cost of supplies and parts used to repair vehicles. Exclude tires and tubes, which are included in line 216.

**Line 216: Tires and tubes** Cost of tires and tubes, retread charges and taxes.

**Line 217: Other operating supplies** Other operating supplies and expenses used in the operations of vehicles, terminals, and shops.

**Line 218: Total operating supplies** Sum of lines 213 through 217.

### Insurance Expenses

**Line 219: Cargo loss and damage premiums and claims paid** Net cost of commercial insurance to protect the carrier against liability for claims resulting from loss or damage to or delay of property being moved or stored by carrier. Include claims paid.

**Line 220: Liability and property damage premiums and claims paid** Cost of commercial insurance to protect carrier from liability due to deaths or injuries to non-employees and damage to other property caused by carrier's equipment. Include claims paid.

**Line 221: Other insurance expenses** Commercial insurance for fire, theft, floods, etc. Include insurance for buildings, offices, structures, machinery, etc. Exclude any insurance cost included in fringe benefits (line 210), such as worker's compensation.

**Line 222: Total insurance expenses** Sum of lines 219 through 221.

## Miscellaneous Expenses

**Line 223: Fuel taxes** Federal and state taxes on gas, diesel, and oil consumed by revenue vehicles and terminal and maintenance activities.

**Line 224: Operating taxes and licenses (except fuel taxes)** Include Federal, state, and local operating taxes, license and registration fees, tolls, other vehicle use taxes, and real estate and personal property taxes. Exclude income, payroll, sales, and other taxes collected from customers.

**Line 225: Depreciation and amortization charges** Depreciation and amortization expenses of revenue equipment, building and improvements, and all other property. Include depreciation on assets rented or leased to others by you under an operating lease agreement. Include depreciation on assets owned and used by your firm within leaseholds, and assets obtained through capital lease agreements. Exclude depreciation on intangible assets, and assets leased to others by you under a capital lease agreement.

**Line 226: Equipment rentals (with drivers)** Amounts payable to others for the use of revenue vehicles where vehicle and driver are under control of carrier. Include monies paid to 1099 independent contractors. Exclude wages paid to W-2 drivers reported on line 206.

**Line 227: Equipment rentals (without drivers)** Amounts payable to others for the use of revenue vehicles where vehicle is under control of carrier. Include compensation for use of owner operator vehicles when driver services are paid separately.

**Line 228: Equipment rentals (agents HHG Only)** Amounts payable to agents for moving and transportation costs related to household goods operations. Exclude commission agent fees, which are reported separately in line 211.

**Line 229: Purchased transportation** Cost of purchased transportation when the hauling carrier retains control of the vehicle and driver. Include payments for the transportation of individual shipments and partial loads in the vehicles of another carrier (interlining), and payments to railroads, water carriers, airlines, and others for the transportation of your company's loaded or empty motor vehicles and containers. Include payments for the delivery of small shipments by parcel, courier, or expedited services. Exclude 1099 independent contractor compensation, which is reported separately.

**Line 230: Communications and utilities** Cost of communications services, plus taxes (long distance communications, fax services, telephone equipment, etc.).

**Line 231: Other operating expenses** Include building rents and office equipment rents, gain (loss) on disposal of operating assets, etc. Exclude interest on loans, sales taxes, and other taxes collected directly from customers and paid directly to a taxing authority.

**Line 232: Total miscellaneous expenses** Sum of lines 223 through 231.

**Line 233: TOTAL OPERATING EXPENSES** Sum of lines 212, 218, 222, and 232.

## Net Income (Loss) Calculation

**NOTE: A frequent source of error in the preparation of the Annual Report involves the Net Income or Loss calculations in lines 234 through 242. Ordinary Income or Loss before taxes (line 238), Ordinary Income or Loss after taxes (line 240), and Net Income or Loss (line 242) are calculated by the addition of line 235, and the subtraction of lines 236, 237, 239 and 241. The values entered in lines 236, 237, 239 and 241 should be stated on the form as positive numbers, unless the effect of these accounts is to increase net income (or reduce net loss), in which case they should be stated as negative (or bracketed) numbers.**

**Line 234: Net operating income (loss)** line 205 minus line 233.

**Line 235: Non-operating revenue** Revenue from non-carrier operations. Include revenue from the lease of a distinct operating unit and household goods packing and warehousing revenue. Include gains on sale, trade in, and/or retiring of equipment. Include interest and dividend income.

**Line 236: Non-operating expenses** Expenses from non-carrier operations. Include household goods packing and warehousing expenses. Include losses on sale, trade in and/or retiring of equipment.

**Line 237: Interest expenses** Interest and amortization of debt discount expense and premium.

**Line 238: Ordinary income (loss) before taxes** Line 234 (plus line 235 minus line 236 minus line 237).

**Line 239: Total provision for income taxes** Accruals for Federal, state or other income taxes applicable to ordinary income.

**Line 240: Ordinary income (loss) after taxes** Line 238 minus line 239.

**Line 241: Extraordinary items, effect of accounting changes, and other items** Include items that are unusual in nature and infrequent in occurrence.

**Line 242: NET INCOME (LOSS)** Line 240 minus line 241.

### Schedule 300: OPERATING STATISTICS

Report statistics for carrier's owned and leased power units, equipment rentals with and without drivers, equipment rentals agents, and purchased transportation (owner-operators). Include statistics from common and contract carriage and your portion of interline shipments. Exclude statistics from local, compensated intercorporate, and private carriage. Additional revenue, weight, and shipment data, for household goods carriers only, are to be reported in lines 308 through 311.

**NOTE: General Freight carriers are required to provide LTL and TL breakdowns in columns A and B. All carriers are required to provide operating statistics in column C for lines 301 through 307.**

**Line 301: Miles (intercity)** Report total miles operated, both loaded and empty, in intercity service. Line 301 should equal the sum of lines 302 and 303.

**Line 302: Miles (highway)** Report total miles operated in highway service.

**Line 303: Miles (rail, water, and air)** Report total miles operated in intermodal service (via rail, water, air, and other).

**Line 304: Tons (intercity)** Total revenue tons carried in intercity service. Include all tons which generated revenue included in "operating revenue —intercity." Include tons carried by carrier's owned and leased power units, equipment rentals with or without drivers, equipment rentals agents, and purchased transportation (other motor carriers, rail, water, air). Use estimates if necessary.

**Line 305: Total freight bills (shipments and/or loads) (intercity)** The actual number of freight bills or bills of lading carried in intercity service. Include all shipments which generated revenue included in "operating revenue —intercity." Include shipments carried by carrier's owned and leased power units, equipment rentals with or without drivers, equipment rentals agents, and purchased transportation (other motor carriers, rail, water, air). Use estimates if necessary.

**Line 306: Revenue (intercity including HHG)** Revenue from the transportation of property from common and contract carriage. Include revenue from your portion of interline shipments. Line 306 will equal line 201, plus the intercity portion of line 203.

**Line 307: Ton-miles (Intercity)** Total ton-miles of intercity revenue freight carried by owned and leased vehicles, other motor carrier service, rail, water, air, and other methods. Ton-miles can be calculated by multiplying the distance of each movement by the weight in tons to get ton-miles for individual shipments. Add all ton-miles for individual shipments to get total ton-miles. If actual individual shipment data is not available, then ton-miles can be calculated by computing the average length of haul (total miles/total shipments) and then multiplying the average haul by the total number of actual tons transported in intercity service. Do not multiply the total tons of all movements by the total miles of all movements.

**Line 308: Personal effects and property used or to be used in a dwelling.**

**Line 309: Furniture, fixtures, equipment, and the property of stores, offices, etc.**

**Line 310: Articles of an unusual nature or value (such as objects of art).**

**Line 311: TOTAL** Sum of lines 308a–310(a); 308(b)–310(b); and 308(c)–310(c)

### Schedule 400: REVENUE EQUIPMENT

Include data for all operating equipment owned or leased under a capital lease. Exclude rented vehicles. Include vehicles used in terminals, maintenance facilities, intercity highway, and storage. For all categories provide the numbers of units at start of year, acquired during the year (including cost), retired or disposed during the year, and on-hand at the end of year.

**NOTE: Column E (number of units at end of year) should always equal the sum of columns A plus B, minus column D.**

**Line 401: Straight trucks (owned)** Total number of straight trucks owned by carrier. Include vehicles used in terminals, maintenance facilities, intercity highway, and storage. Exclude leased or rented vehicles.

**Line 402: Straight trucks (leased)** Total number of straight trucks leased with or without drivers. Include vehicles that remain under control of carrier. Include vehicles used in terminals, maintenance facilities, intercity highway, and storage. Exclude rented vehicles.

**Line 403: Truck-tractors (owned)** Total number of truck-tractors owned by carrier. Include vehicles used in terminals, maintenance facilities, intercity highway, and storage. Exclude leased or rented vehicles.

**Line 404: Truck-tractors (leased)** Total number of truck-tractors leased with or without drivers. Include vehicles that remain under control of carrier. Include vehicles used in terminals, maintenance facilities, intercity highway, and storage. Exclude rented vehicles.

**Line 405: Trailers and semi-trailers (owned)** Total number of trailers owned by carrier. Include vehicles used in terminals, maintenance facilities, intercity highway, and storage. Exclude leased or rented vehicles

**Line 406: Trailers and semi-trailers (leased)** Total number of trailers leased by carrier. Include vehicles that remain under control of carrier. Include vehicles used in terminals, maintenance facilities, intercity highway, and storage. Exclude rented vehicles.

**Line 407: Other revenue equipment** Other equipment used to generate revenue for carrier operations. Include owned or leased vehicles used in terminals, maintenance facilities, intercity highway, and storage. Exclude rented vehicles.

**Line 408: TOTAL REVENUE EQUIPMENT** Sum of lines 401 (a) thru 407 (a); 401 (b) thru 407 (b); 401 (c) thru 407 (c), 401 (d) thru 407 (d), and 401 (e) thru 407 (e).

#### **Schedule 500: EMPLOYMENT INFORMATION**

Include W-2 employees. Exclude 1099 independent contractors. Lines 501 through 507 - report the average number of employees, and the total compensation amount, including wages, salaries, and miscellaneous paid time off. Exclude fringe benefits.

**NOTE: Total compensation (column B) should always equal lines 206 through 209.**

**Line 501: Drivers and Helpers** hourly basis

**Line 502: Drivers and Helpers** mileage basis

**Line 503: Drivers and Helpers** Other basis not included in line 501 or 502

**Line 504: Cargo handlers**

**Line 505: Officers, supervisors, administrative and clerical**

**Line 506: Other labor** Include vehicle repair & service employees

**Line 507: TOTAL EMPLOYMENT** Sum of lines 501 through 506

**Drivers:**

**Line 508: CDL drivers** Total drivers that held a valid CDL license at close of year

**Line 509: Non-CDL drivers** Total drivers that did not hold a valid CDL license at close of year

**Line 510: TOTAL DRIVERS** Sum of lines 508 and 509.

**Certification** Should contain the name, signature, and title of person responsible for the completion of the form, and date. Retain a copy for your records, and return the original certified Form M to:

U.S. Department of Transportation  
Bureau of Transportation Statistics  
Office of Motor Carrier Information  
c/o Vistrionix, Inc.  
1970 Chain Bridge Road, Suite 1200  
McLean, VA 22102



U.S. Department of Transportation  
Bureau of Transportation Statistics**Class I — Motor Carriers of  
Property and Household Goods****2002  
Quarterly Report**

QUARTER – Mark (X) ONE

1 ☐ 2 ☐ 3 ☐ 4 ☐

## IDENTIFICATION

MOTOR CARRIER NO.

U.S. DOT NO.

Name of Company

Trade or Doing Business As:

Street Address

City

State

ZIP Code

Telephone No. (Include Area code)  
(      )

## CONTACT (for purposes of this report)

Contact name

Title

Telephone No. (Include Area code)  
(      )

## MAILING ADDRESS (if different from above)

Mailing Address

City

State

ZIP Code

AFFILIATED  
COMPANIES:

Name

MC number  
(if any)U.S. DOT number  
(if any)

Parent

Affiliates

— GENERAL INSTRUCTIONS —

- ⚠ Use Generally Accepted Accounting Principles (GAAP)
- ⚠ Report dollar values in whole dollars.
- ⚠ Respond to each item. Use "0," "none," or "not applicable" as appropriate.
- ⚠ Explain any unusual items, such as large differences between figures reported in the current report and those for the preceding report.
- ⚠ Use parentheses to indicate negative numbers.

### Operating Revenues

1. Freight operating revenue – intercity . . . . .	\$
2. Household goods carrier operating revenue . . . . .	\$
3. Other operating revenue . . . . .	\$
4. <b>Total Operating Revenue</b> (Sum of lines 1 through 3) . .	\$

### Operating Expenses

5. Freight operating expenses . . . . .	\$
6. Household goods carrier operating expenses . . . . .	\$
7. <b>Total Operating Expenses</b> (Sum of lines 5 and 6) . . . .	\$

### Net Income (Loss) Calculation

8. <b>Net Operating Income (Loss)</b> (Line 4 minus line 7) . . .	\$
9. Net Non-Operating Income (Loss). . . . .	\$
10. Interest expenses - show as a positive number . . . . .	\$
11. <b>Ordinary income (loss) before taxes</b> (Sum of lines 8 and 9 minus line 10) . . . . .	\$
12. Total provision for income taxes, extraordinary items, effect of accounting changes, and other items . . . . .	\$
13. <b>Net Income (Loss)</b> (Line 11 minus line 12) . . . . .	\$

### Operating Statistics (all carriers)

14. Miles – intercity: highway . . . . .	
15. Miles – intercity: rail, water, and air . . . . .	
16. Tons – intercity . . . . .	
17. Total freight bills (shipments and/or loads) – intercity . .	

**Certification** – I hereby certify that this report was prepared by me or under my supervision, that I have examined it, and that the items herein reported on the basis of my knowledge are correctly shown.

Name	Signature
Title	Date

**Return the  
completed  
form to:**

BUREAU OF TRANSPORTATION STATISTICS  
U.S. DEPARTMENT OF TRANSPORTATION  
OFFICE OF MOTOR CARRIER INFORMATION  
c/o VISTRONIX, INC.  
1970 CHAIN BRIDGE ROAD SUITE 1200  
MCLEAN, VA 22102

Phone: (202) 366-4383  
Fax: (703) 749-8480  
Email: mcs@bts.gov  
Web site: www.bts.gov/mcs

# MP-1

Quarterly and annual report to the  
Bureau of transportation statistics

Approved by OMB **2139-0003**  
Expires: **02/28/2003**

## Quarterly and Annual Report Form Motor Carriers of Passengers

# 2001

**Carrier name and address**

**MC Number:** \_\_\_\_\_

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**1. 2001** Period covered (check one):

**1**    **2**    **3**    **4**    **A**  
☐    ☐    ☐    ☐    ☐

**2.** Type of operation based on major  
sources of revenues (check one):

☐ Regular route service  
☐ Charter service

**3.** If respondent is a consolidated group, list and describe all entities making up the consolidation.

---

---

**4.** If a merger, consolidation, or change in the company or consolidated group occurred during the year, please describe.

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**Instructions** - please see the following page for instructions and footnotes on individual items.

**Respondent only**

**Consolidated**

**5.** Number of Passengers:

(a) Intercity regular route

---

(b) Charter or special

---

(c) Local or commuter

---

(d) Total passengers

---

**6. Revenue:**

(a) Intercity regular route

(b) Charter or special

(c) Local or suburban

(d) Express and other revenue

(e) Total operating revenue

**7. Total Operating Expenses****8. Net Operating Income (Loss)<sup>1,2</sup>****9. Other Income (Deductions)<sup>1</sup>****10. Extraordinary Items, Net of Taxes<sup>1</sup>****11. Total Provision for Income Taxes<sup>1</sup>****12. Net Income (Loss)<sup>1,3</sup>****13. Total Assets****14. Total Liabilities****15. Shareholders' Equity****16. Operating Ratio<sup>4</sup>****Certification:**

I certify that this form was prepared by me or under my supervision, that I have examined it, and that the items reported on the basis of my knowledge and belief are correctly shown.

Your name (print or type)

Official title

Address

City, State, Zip

Telephone No. (including area code)

Signature

Date